

**UNITED STATES DISTRICT COURT**  
for the  
NORTHERN DISTRICT OF CALIFORNIA

ROBERT DAYS

*Plaintiff(s)*

v.

ROBINHOOD MARKETS, INC.; ROBINHOOD  
FINANCIAL LLC; ROBINHOOD SECURITIES, LLC;  
APEX CLEARING HOLDINGS LLC; AND APEX  
CLEARING CORPORATION

*Defendant(s)*

Civil Action No. 4:21-cv-00696-KAW

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)*

ROBINHOOD MARKETS, INC, ROBINHOOD FINANCIAL LLC and ROBINHOOD SECURITIES, LLC  
Incorporation Services, Ltd  
Agent for Service of Process  
3500 South Dupont,  
Dover, DE 19901

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

BROWNE GEORGE ROSS O'BRIEN ANNAGUEY & ELLIS LLP  
Matthew L. Venezia (State Bar No. 313812)  
[mvenezia@bgrfirm.com](mailto:mvenezia@bgrfirm.com)  
2121 Avenue of the Stars, Suite 2800  
Los Angeles, California 90067  
Telephone: (310) 274-7100 / Facsimile: (310) 275-5697

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Date: 2/1/2021



CLERK OF COURT  
Susan Y. Soong

*Angel N. J.*

Signature of Clerk or Deputy Clerk



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CLEARING CORPORATION

*Defendant(s)*

Civil Action No. 4:21-cv-00696-KAW

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)*

APEX CLEARING HOLDINGS LLC  
350 North Saint Paul Street, Suite 1300  
Dallas, TX 75201

APEX CLEARING CORPORATION  
National Registered Agents, Inc.  
Agent for Service of Process  
28 Liberty Street  
New York, NY 10005

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

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Susan Y. Soong

*Angel M. J.*

Signature of Clerk or Deputy Clerk